JUL 2 6 2001 NITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alberte, et al.

Application No: 09/405,269

Filed: September 23, 1999

For: Safe and Effective Biofilm Inhibitory

Compounds and Health-Related Uses

Thereof

Examiner: TO BE ASSIGNED

Art Unit: 1714

Attorney Document No. PHA-004.01

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Change of Address and Change of Docket Number is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Customer Corrections Branch, Assistant Commissioner for Patents, Washington, D. 20231, on July

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

Please make the following corrections in the formal Filing Receipt, a copy of which is enclosed and highlighted for your convenience, for the above-identified patent application:

Applicants request that the Filing Receipt be corrected to include the missing Priority Information - THIS APPLN CLAIMS PRIORITY TO 09/159,814, 09/23/98.

Should there be any questions concerning this request, the Examiner is invited to contact the undersigned.

Date: July 23, 2001

Customer No: 25181 Patent Group

Foley, Hoag & Eliot llp One Post Office Square Boston, MA 02109-2170 Respectfully Submitted,

Dana M. Gordon, Ph.D., J.D.

Reg. No. 44,719 Tel. (617) 832-1000

Fax. (617) 832-7000





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/405,269 | 09/23/1999 | 1774 | 1057 | PHA-004.01 | 9 | 87 | 3 |

CONFIRMATION NO. 9298

CORRECTED FILING RECEIPT

PAYENT DEPT. DOCKETING

OC00000006260913

25181 FOLEY, HOAG & ELIOT, LLP PATENT GROUP ONE POST OFFICE SQUARE BOSTON, MA 02109

Date Mailed: 07/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt.

If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

RANDALL S. ALBERTE, FALMOUTH, ME; RICHARD C. ZIMMERMAN, PACIFIC GROVE, CA;

Domestic Priority data as claimed by applicant

Please add: THIS APPLN CLAIMS PRIORITY TO 09/159,814, 09/23/98

Foreign Applications

If Required, Foreign Filing License Granted 10/14/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

-

** SMALL ENTITY **

Title

SAFE AND EFFECTIVE BIOFILM INHIBITORY COMPOUNDS AND HEALTH-RELATED USES THEREOF

Preliminary Class